Hydrodynamic Behaviour in Thermal Transport

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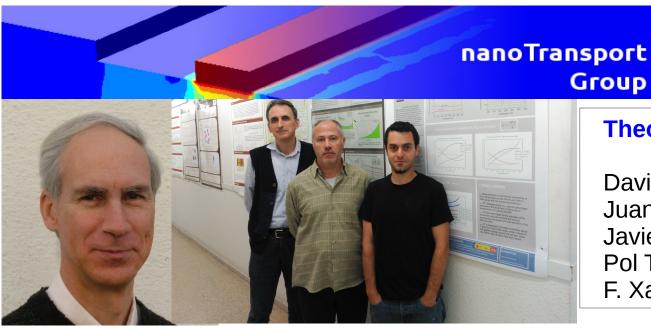
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<u>Collaborators</u>



Theory Group: nanoTransport

David Jou Juan Camacho Javier Bafaluy Pol Torres F. Xavier Alvarez

Experimental Group: GNaM

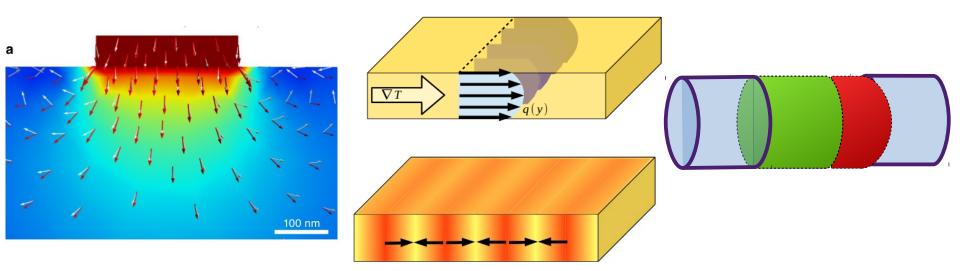
Javier Rodriguez Aitor Lopeandia Gemma Garcia Pablo Ferrando





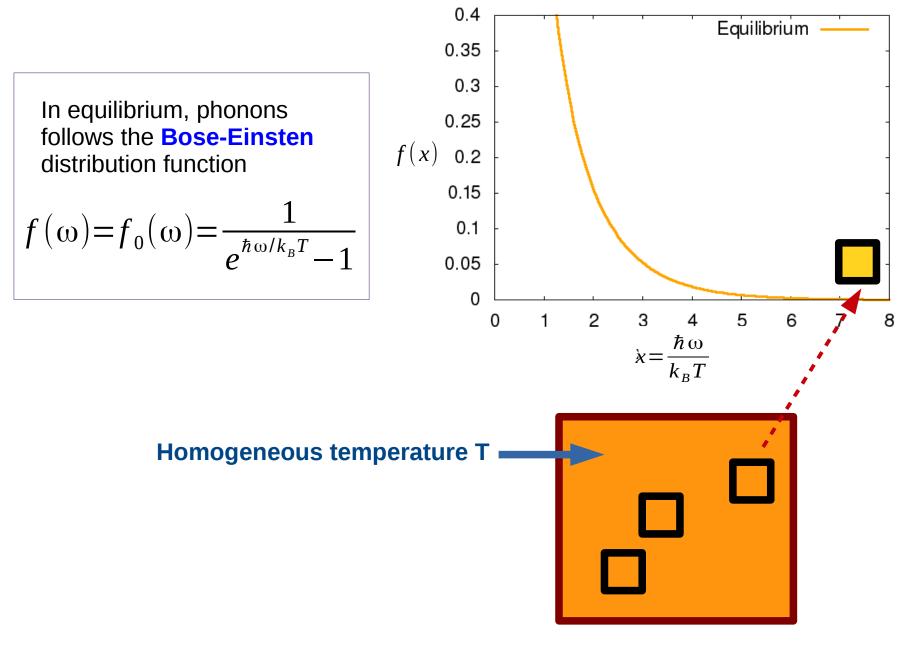
<u>Summary</u>

Thermal behaviour out of equilibrium
 Extended Irreversible Thermodynamics (EIT)
 Phonon Hydrodynamic Equations
 Exact solutions of the BTE
 Kinetic Collective Model (KCM)
 Hydrodynamics + KCM - complex geometries





<u>Equilibrium</u>



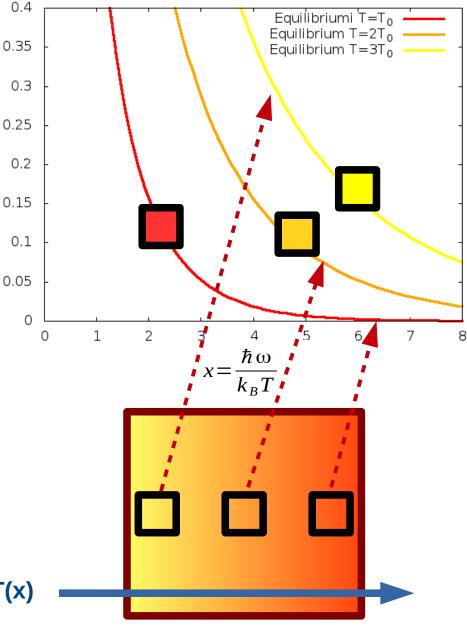
L<mark>ocal equilibrium</mark>

When inhomogeneties appear inside the system a global temperature T is no longer a good magnitude to describe the $\underset{\text{System}}{\cong}$

If these are not large a local equilibrium temperature **T(x)** can be defined

$$f_0(\omega, x) = \frac{1}{e^{\hbar \omega / k_B T(x)} - 1}$$

Inhomogeneous temperature T(x)



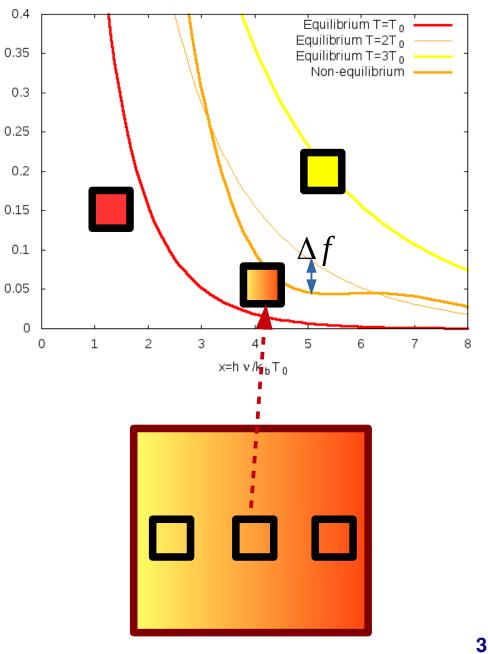
<mark>F</mark>ar from equilibrium

Sometimes the excitation of the distribution function cannot be expressed by a single parameter \Im like a local temperature

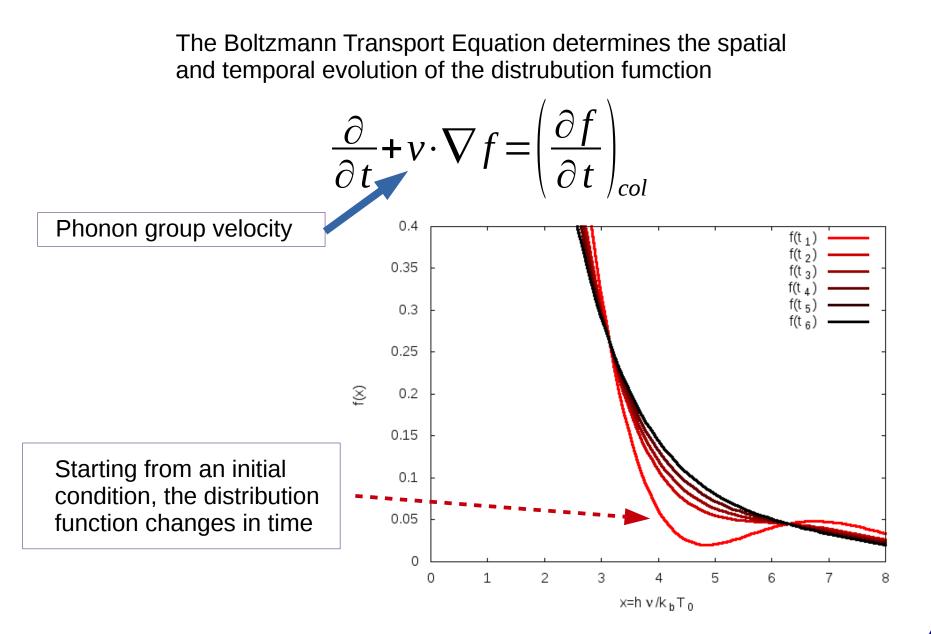
$$f = f_0 + \Delta f$$

Which are the equations that determine the evolution of the excitation

$$\Delta f(k,x,t)$$
?



Boltzmann Tranport Equation (BTE)





Moments of the distribution

From phonons $f(\kappa, x, t)$ to moments $M_i(x, t)$

$$\begin{aligned} &\epsilon(x,t) = \int \hbar \omega_k f(\kappa,x,t) \frac{d^3 k}{(2\pi)^3} \\ &q(x,t) = \int \hbar \omega_k \vec{v_g} f(\kappa,x,t) \frac{d^3 k}{(2\pi)^3} \\ &Q(x,t) = \int \hbar \omega_k (\vec{v_{gx_1}} \cdot \vec{v_{gx_2}}) f(\kappa,x,t) \frac{d^3 k}{(2\pi)^3} \end{aligned}$$

Zero order: energy density

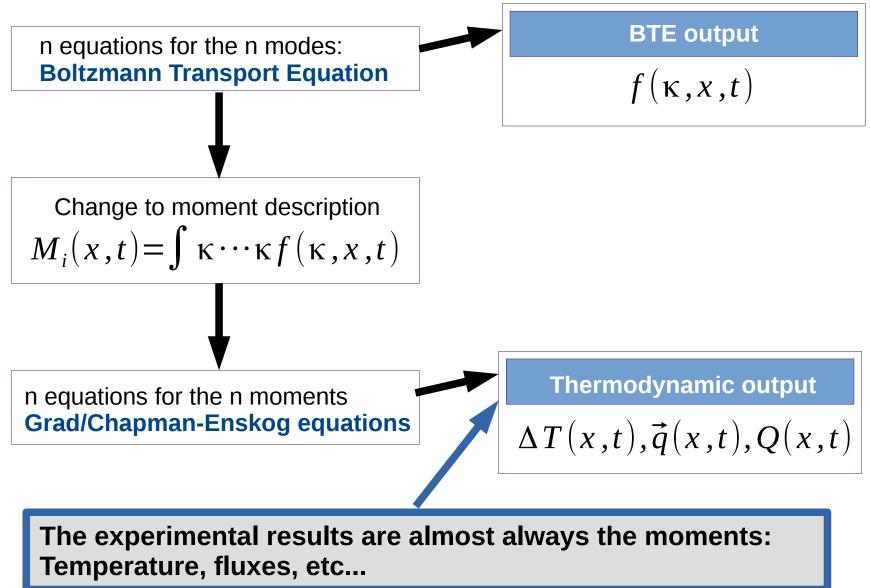
First order: heat flux

Second order: flux of the flux

$$Q^{n}(x,t) = \int \hbar \omega_{k} (\vec{v_{gx_{1}}} \cdots \vec{v_{gx_{n}}}) f(\kappa, x, t) \frac{d^{3}k}{(2\pi)^{3}} \quad \text{n-order moment}$$

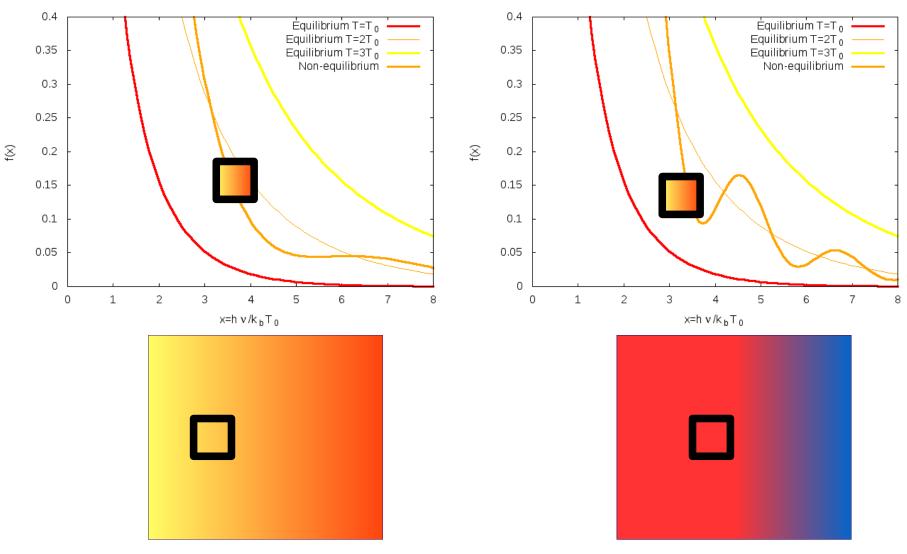
. . .

Changing to moment equations

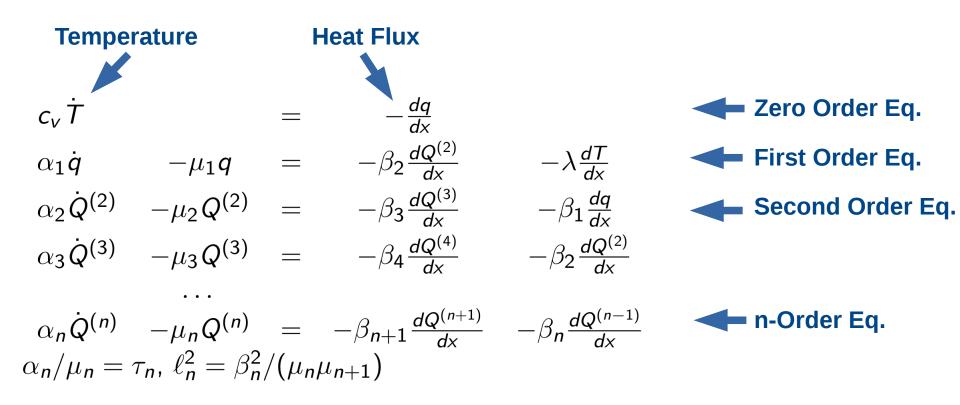


Moments needed for the description

Depending on the imposed conditions we may need higher number of orders to describe the system



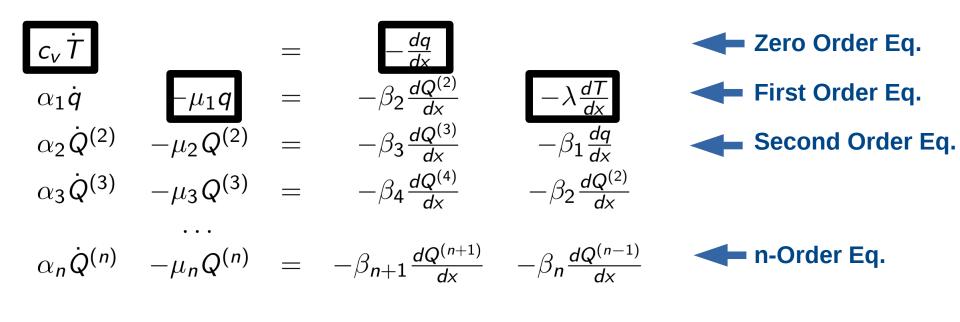
Extended Irreversible Thermodynamics (EIT)



Extended Irreversible Thermodynamics (EIT) allows the description of any number of moments

First order: Fourier Law

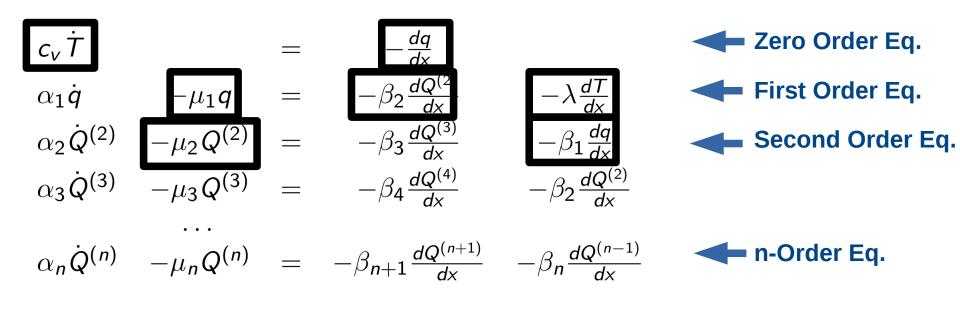
Taking only the terms to first order we recover the Fourier Law



$$C_{\nu} \frac{dT}{dt} = -\nabla \cdot q \quad \textbf{Energy Conservation}$$
$$\vec{q} = -\lambda \nabla T \quad \textbf{Fourier Law}$$

Second order: Guyer-Krumhansl equation

Taking only the terms to second order we recover the Guyer-Krumhansl equation



$$C_{v} \frac{dT}{dt} = -\nabla \cdot q$$

$$= -\lambda \nabla T + l^{2} \nabla^{2} q$$
Energy Conservation
$$Guyer-Krumhansl equation$$

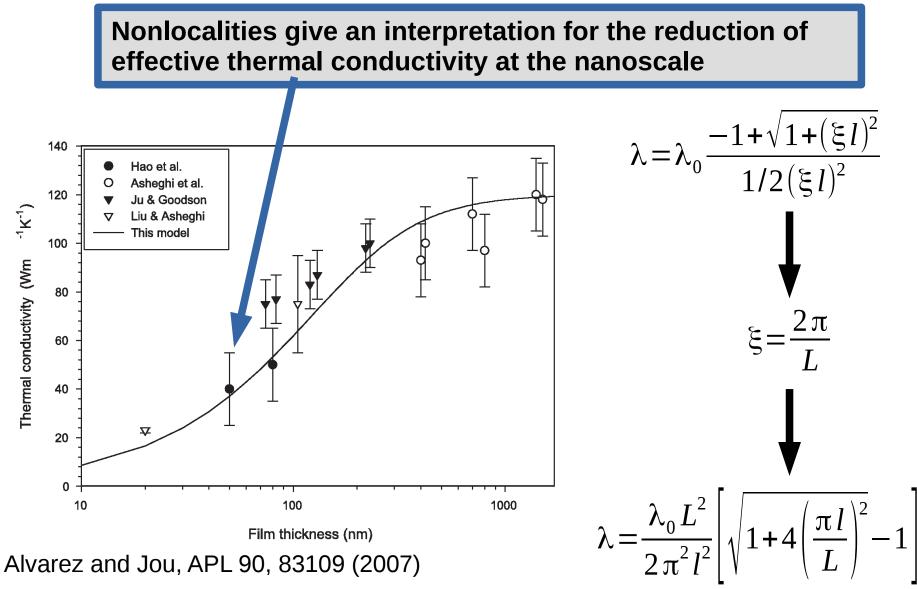
Continued fraction approach

$$\hat{T} = \left(s + \frac{D\xi^2}{1 + \frac{\ell^2 \xi^2}{1 + \frac{\ell^2 \xi^2}{1 + \frac{\ell^2 \xi^2}{1 + \frac{\ell^2 \xi^2}{1 + \dots}}}}\right)^{-1}$$

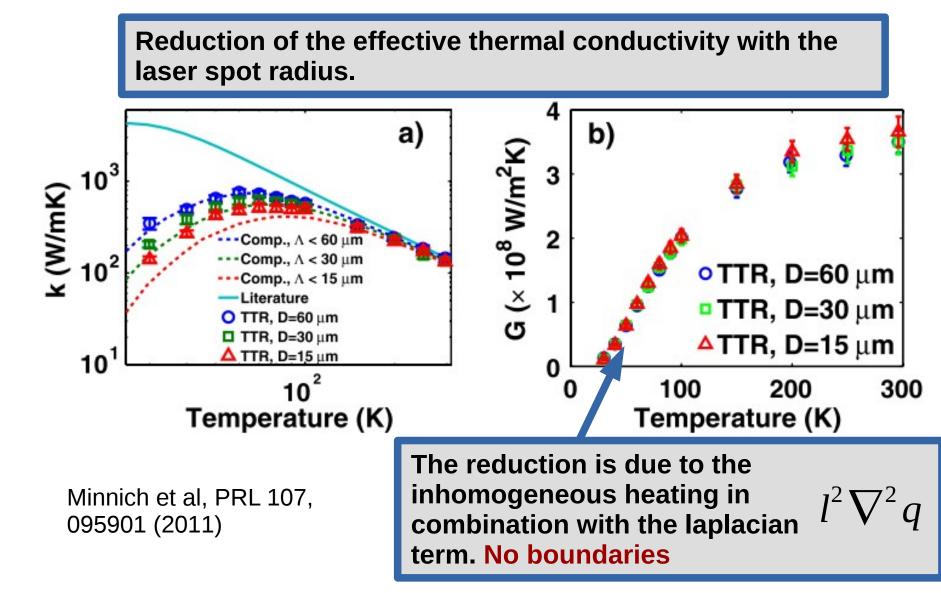
$$\lambda = \lambda_0 \frac{-1 + \sqrt{1 + (\xi l)^2}}{1/2 (\xi l)^2}$$

Alvarez and Jou, APL 90, 83109 (2007)

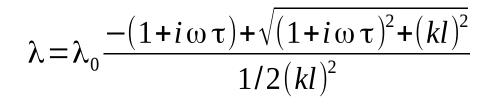
Application to nanowires

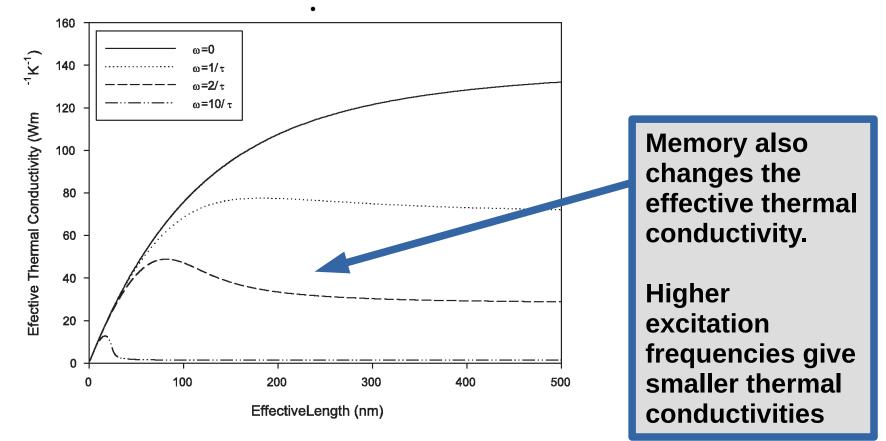


Application TDTR experiments



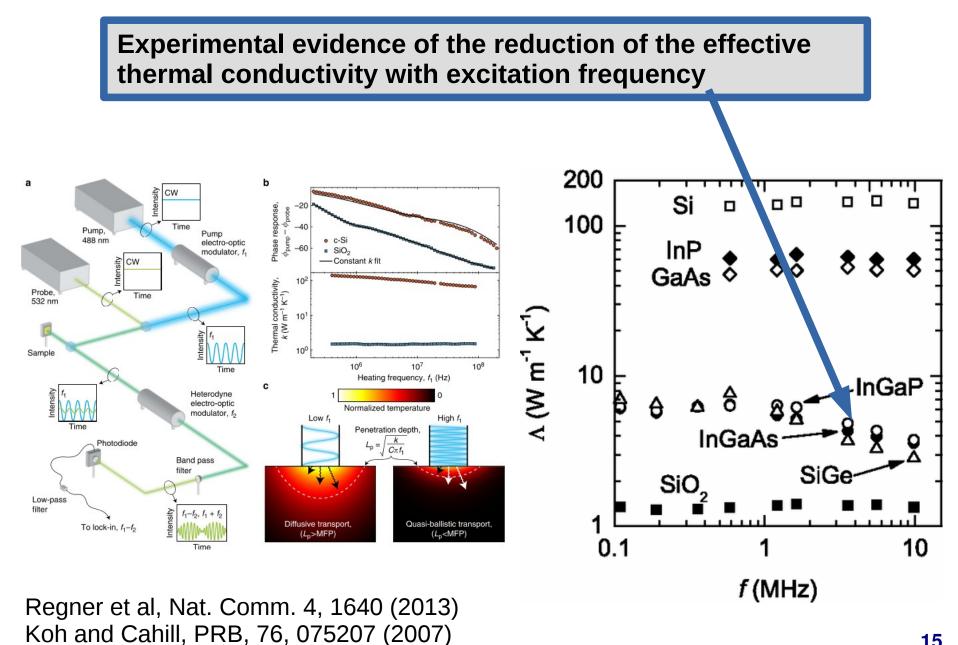
Memory effects





Alvarez and Jou, JAP 103, 94321 (2008).

Application to TDTR experiments



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Fourier law can be extended by including memory and nonlocal effects

The number of terms needed depend on how far we are from local equilibrium



Phonon hydrodynamic equation

Guyer-Krumhansl equation

$$\tau \dot{q} + q = -\lambda \nabla T + l^2 (\nabla^2 q + 2 \nabla \nabla \cdot q)$$

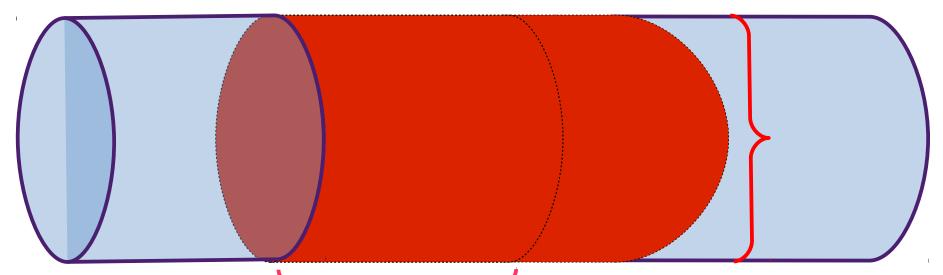
Similarities between GK and NS equations

$$l^2(
abla^2q+2
abla
abla\cdot q)$$
 Acts as a friction term

Navier-Stokes equation

$$\dot{\mathbf{v}} = -\frac{1}{\rho} \nabla p + (\mathbf{v} \nabla^2 \mathbf{v} + \frac{\mathbf{v}}{3} \nabla (\nabla \cdot \mathbf{v}))$$



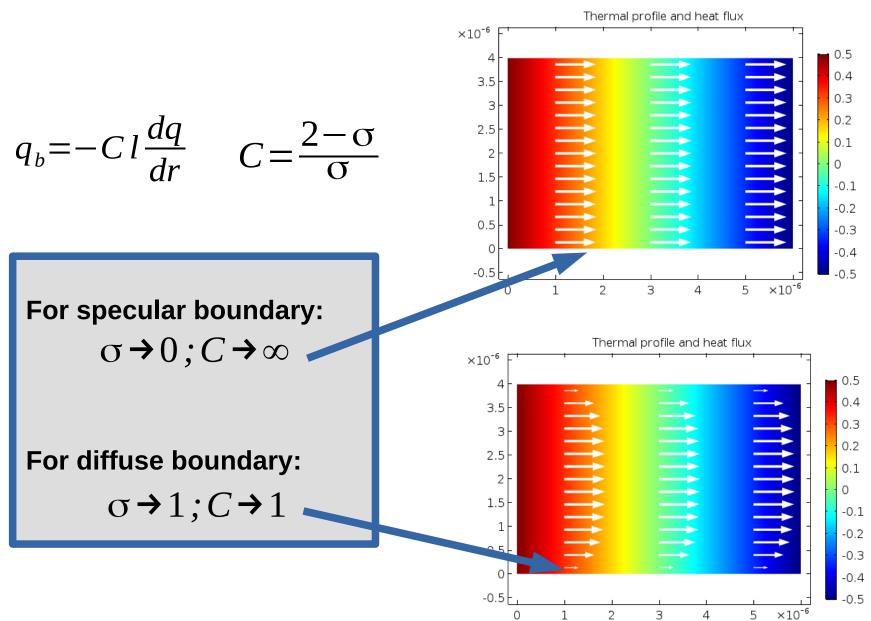


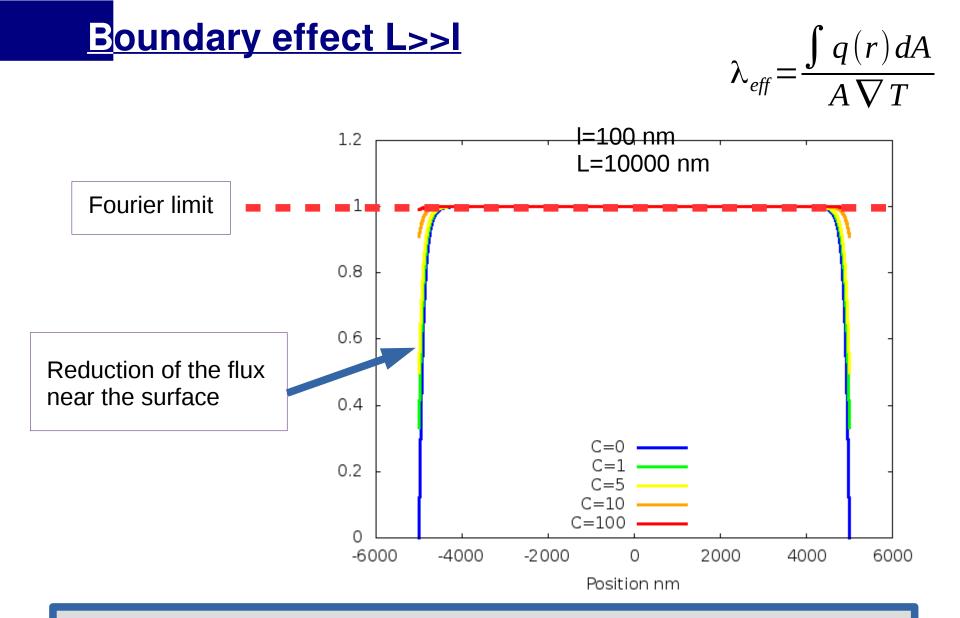
$$q_b = -C \, l \frac{dq}{dr}$$

GK equation should be combined with the proper boundary conditions to obtain a solution

Alvarez, Jou and Sellitto, JAP 105, 14317 (2009). Sellitto, Alvarez and Jou, JAP 107, 114312 (2010). Alvarez, Jou and Sellitto, J. Heat Transfer 133, 22402 (2011).

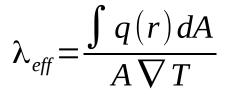


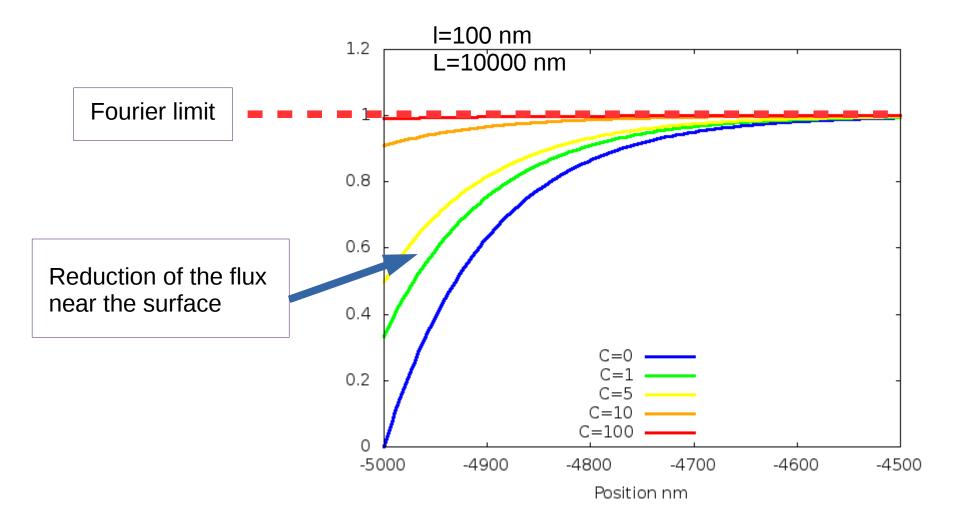




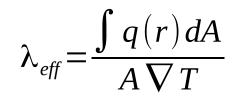
In the limit of small Knudsen number the obtained profile is very similar to a Fourier profile with a reduction near the surface

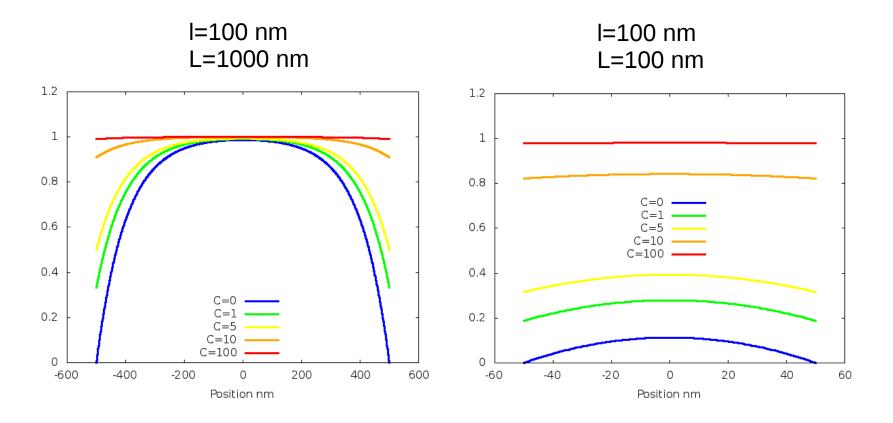
Boundary effect L>>I





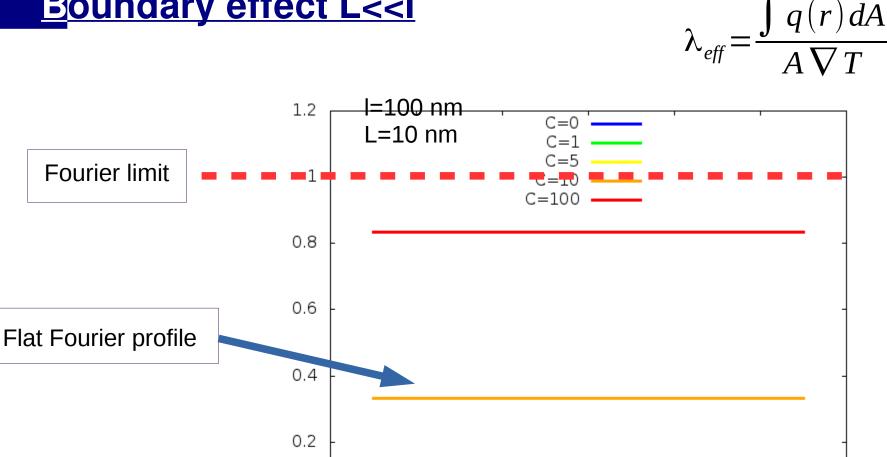
Boundary effect L~I





If the Knudsen number increases, the reduction is noticed in a larger region of the wire

Boundary effect L<<I</p>



-2

0

Position nm

2

In the limit of high Knudsen number the obtained profile is very similar to an effective Fourier profile

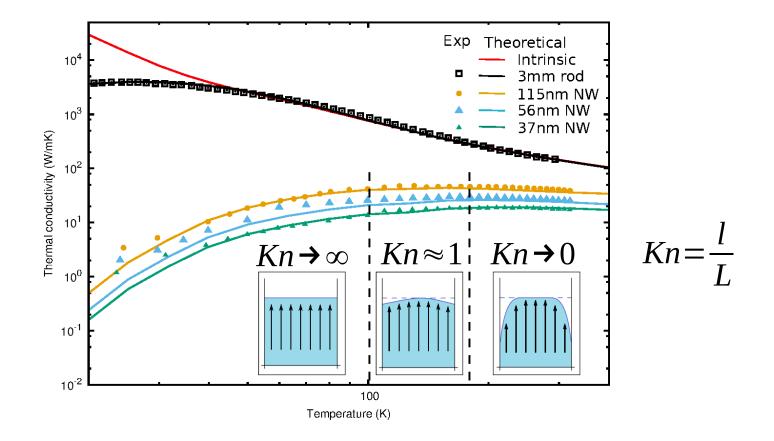
-4

0

-6

6

4



Hydrodynamic model allows a description of nanoscale simple geometries

Lake Home Idea

The hydrodynamic equation gives a simple picture of the reduction of heat transport

Boundary conditions are key to understand reduced size samples

In hydrodynamic models the way to incorporate this is through the slip flow condition

Hydrodynamic model

Alvarez, Jou and Sellitto, JAP 105, 14317 (2009). Sellitto, Alvarez and Jou, JAP 107, 114312 (2010). Alvarez, Jou and Sellitto, J. Heat Transfer 133, 22402 (2011). **SEMA SIMAI Springer Series**

Antonio Sellitto Vito Antonio Cimmelli David Jou

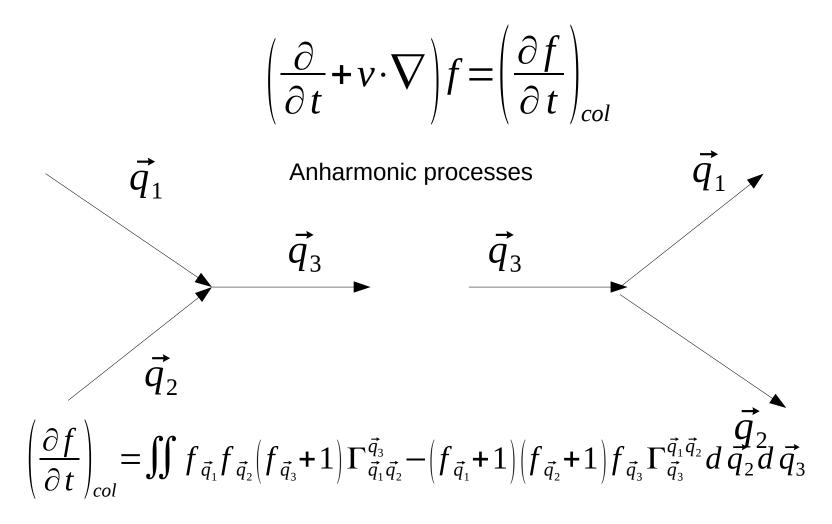
Mesoscopic Theories of Heat Transport in Nanosystems

Sema Jima





Anharmonic effects in collision term



f is a nonequilibrium function depending on q

Boltzmann equation is generally nonlinea

Relaxation time approximation (RTA)

$$\begin{pmatrix} \frac{\partial f}{\partial t} \\ \frac{\partial f}{\partial t} \end{pmatrix}_{col} = \iint (\Phi_{\vec{q}_{1}} + \Phi_{\vec{q}_{2}} - \Phi_{\vec{q}_{3}}) P_{\vec{q}_{1}\vec{q}_{2}}^{\vec{q}_{3}} d\vec{q}_{2} d\vec{q}_{3}$$

$$D_{\vec{q}} f_{\vec{q}} = \sum_{\vec{q}'} C_{\vec{q},\vec{q}'} f_{\vec{q}'} \qquad \text{Linearized collision term}$$

$$Diagonal in q \qquad \text{Nondiagonal in q}$$

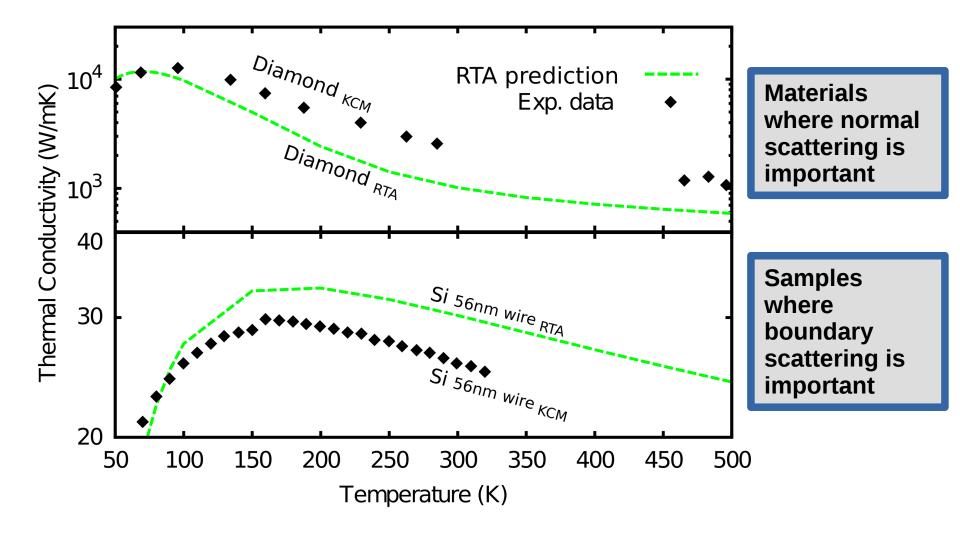
$$\begin{pmatrix} \frac{\partial f}{\partial t} \\ \frac{\partial f}{\partial t} \end{pmatrix}_{col} = \iint \Phi_{\vec{q}_{1}} P_{\vec{q}_{1}\vec{q}_{2}}^{\vec{q}_{3}} d\vec{q}_{2} d\vec{q}_{3} \qquad \Phi_{\vec{q}_{1}} \neq 0 \qquad \Phi_{\vec{q}_{2}} = 0 \qquad \Phi_{\vec{q}_{3}} = 0$$

$$D_{\vec{q}} f_{\vec{q}} = C_{\vec{q}} f_{\vec{q}'} \qquad \text{RTA collision term}$$

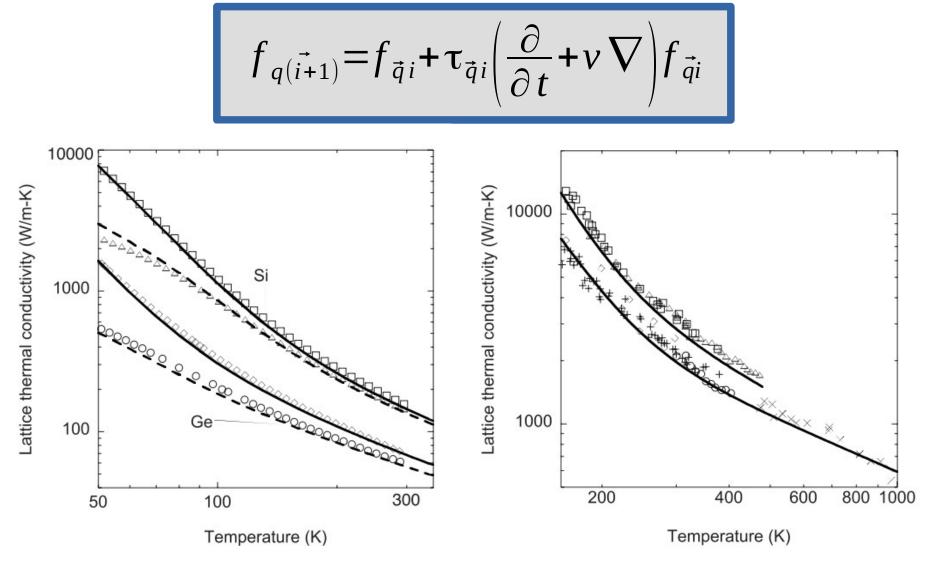
$$Diagonal in q \qquad Diagonal in q$$

$$\frac{\partial f}{\partial t} + v \cdot \nabla f_{\vec{q}} = \frac{f_{\vec{q}} - f_{\vec{q}0}}{\tau_{\vec{q}}}$$

ailures of RTA



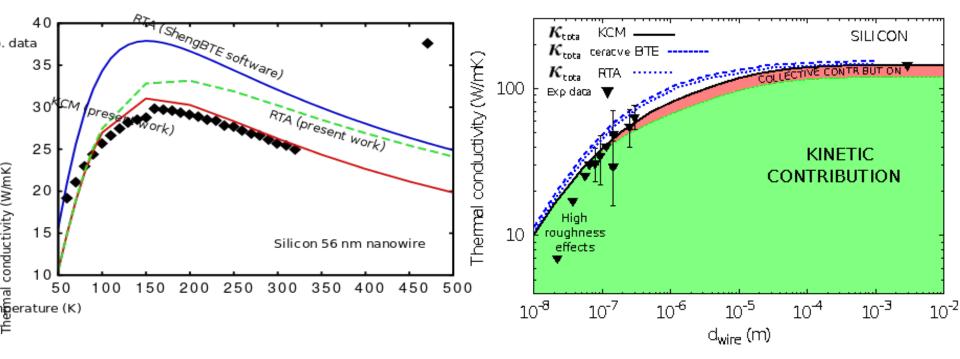
terative BTE (IBTE)



Significant improvement for bulk materials

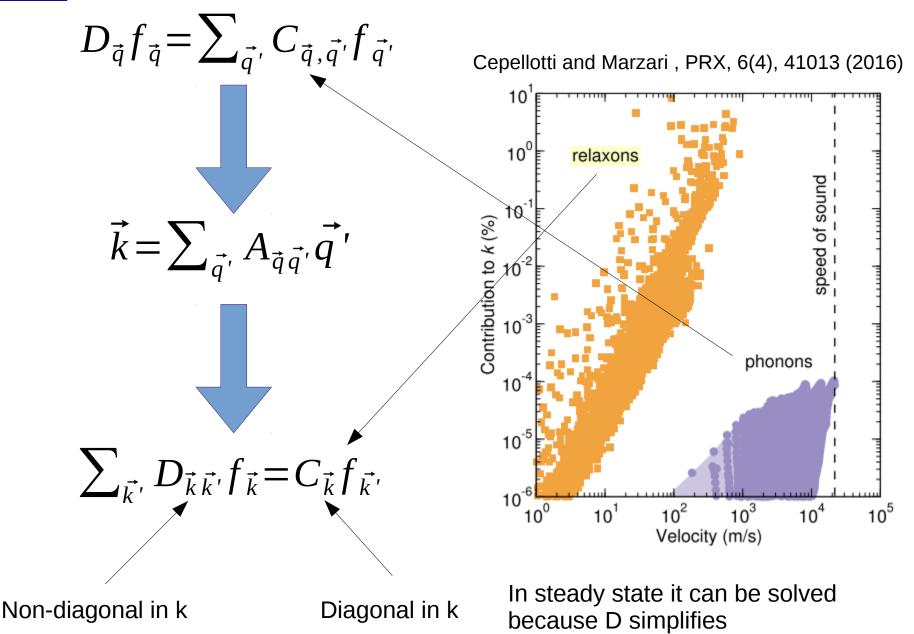
Ward et al. PRB 80, 125203 (2009)

terative BTE (IBTE)



Li et al. PRB 85, 195436 (2012)

Einearized BTE – Relaxons. R-LBTE





Can we find a solution of the BTE that simplifies the collision term without complicate in excess the drift term?





$$\frac{\partial f}{\partial t} + v \cdot \nabla f = \left(\frac{\partial f}{\partial t}\right)_{col}$$

Split the collision term in two: R/N

Momentum basis

Diagonalizes Normal scattering

$$Df = (R+N)f$$

$$\begin{pmatrix} D_{00} & D_{01} & 0 \\ D_{10} & D_{11} & D_{12} \\ 0 & D_{21} & D_{22} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & R_{11} & R_{12} \\ 0 & R_{21} & R_{22} \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & N_{22} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix}$$

<u> Guyer-Krumhansl</u>

For a bulk homogeneous system in steady state

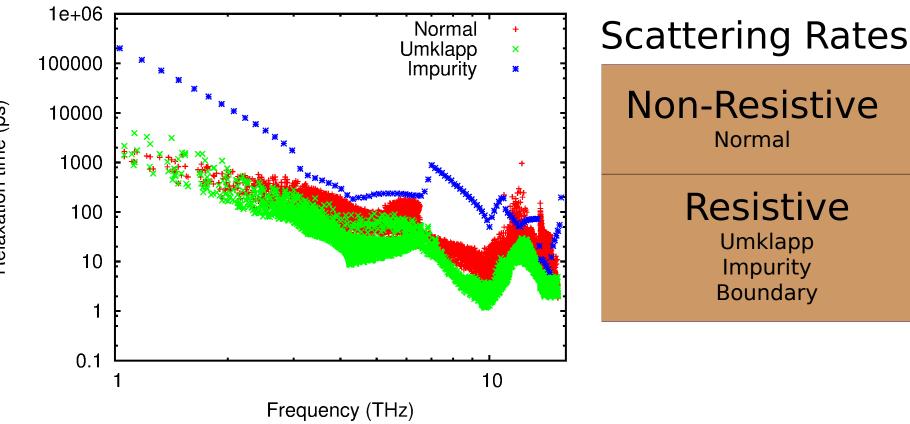
$$a_{1} = \left(R_{11} + R_{12} \left(R_{22} + N_{22}\right)^{-1} R_{21}\right)^{-1} D_{10} a_{0}$$
$$\vec{q} = -\kappa \nabla T$$

Kinetic Regime
$$N_{22}=0$$
Collective Regme $N_{22}=\infty$ $a_1 = (R_{11} + R_{12}R_{22}^{-1}R_{21})^{-1}D_{10}a_0$ $a_1 = R_{11}^{-1}D_{10}a_0$ $(R^{-1})_{11}D_{10}a_0 = a_1$ $D_{10}a_0 = R_{11}a_1$

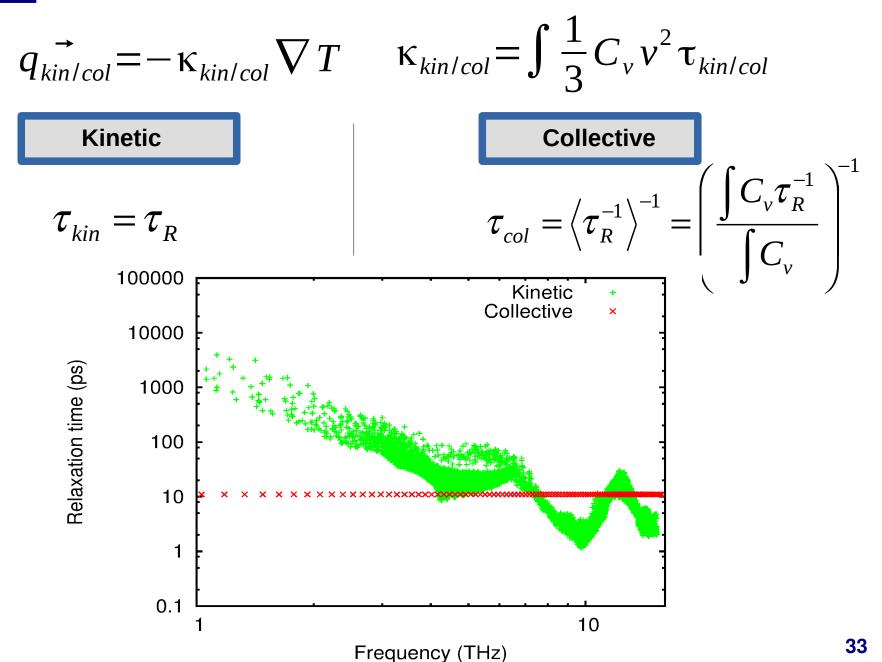
$$\vec{q} = -\kappa_{kin} \nabla T$$

$$\vec{q} = -\kappa_{col} \nabla T$$

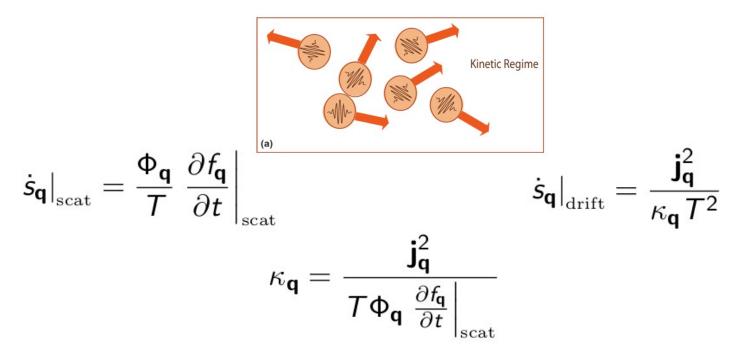
Relaxation times from ab-initio calculations



Predictions for nanowires



Entropic justification. Kinetic Term



Kinetic thermal conductivity

$$\kappa_{\rm kin} = \frac{1}{3} \int \hbar \omega \tau_{\omega} v_g^2 \frac{\partial f_{\omega}^0}{\partial T} D_{\omega} d\omega$$

De Tomas et al. JAP **115**, 164314 (2014)

Entropic justification. Collective Term

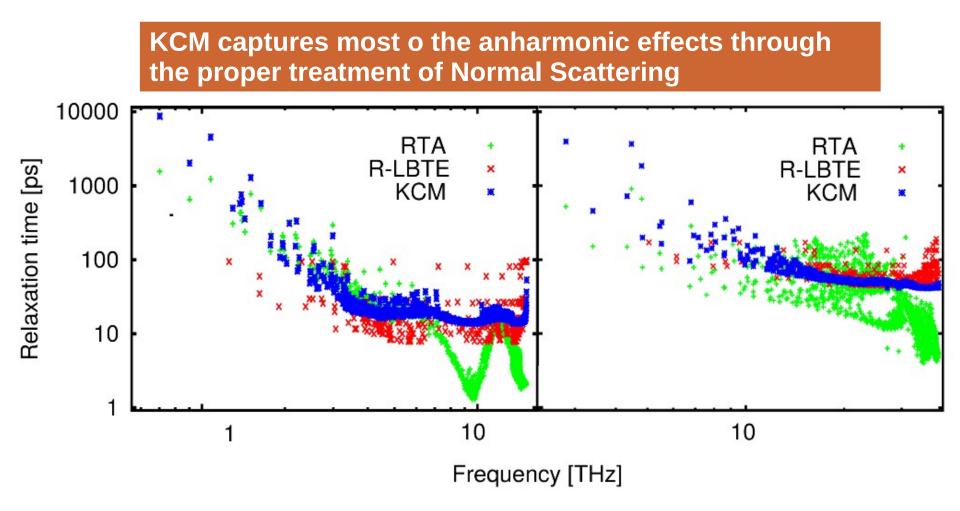
$$\dot{s}_{\text{tot}}|_{\text{scat}} = \int \frac{\Phi_{\mathbf{q}}}{T} \left. \frac{\partial f_{\mathbf{q}}}{\partial t} \right|_{\text{scat}} d\mathbf{q} \qquad \dot{s}_{\text{tot}}|_{\text{drift}} = \frac{\left[\int \hbar \omega_{\mathbf{q}} \mathbf{v}_{g} f_{\mathbf{q}}^{0} (f_{\mathbf{q}}^{0} + 1) \frac{\Phi_{\mathbf{q}}}{k_{B}T} d\mathbf{q} \right]^{2}}{\kappa T^{2}}$$
$$\kappa_{\text{coll}} = \frac{\left[\int \hbar \omega_{\mathbf{q}} \mathbf{v}_{g} f_{\mathbf{q}}^{0} (f_{\mathbf{q}}^{0} + 1) \frac{\Phi_{\mathbf{q}}}{k_{B}T} d\mathbf{q} \right]^{2}}{T^{2} \int \frac{\Phi_{\mathbf{q}}}{T} \left. \frac{\partial f_{\mathbf{q}}}{\partial t} \right|_{\text{scat}} d\mathbf{q}}$$

Collective thermal conductivity

$$\kappa_{\rm coll} = \frac{1}{3} \frac{\left(\int v_g q_\omega \frac{\partial f_\omega^0}{\partial T} D_\omega d\omega\right)^2}{\int \frac{q_\omega^2}{\hbar \omega} \frac{1}{\tau_\omega} \frac{\partial f_\omega^0}{\partial T} D_\omega d\omega}$$

De Tomas et al. JAP **115**, 164314 (2014)

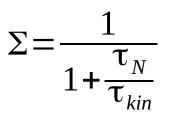
Predictions for nanowires



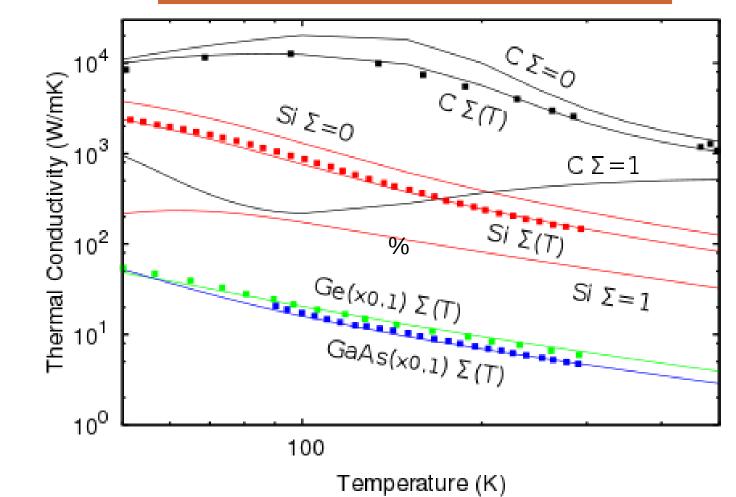
Predictions for bulk

In a general case

$$\vec{q} = -((1-\Sigma)\kappa_{kin} + \Sigma\kappa_{col})\nabla T$$



Remarkable agreement with bulk data



Predictions for nanowires

The kinetic contribution is reduced by the combination of the boundary term with the rest of the collisions

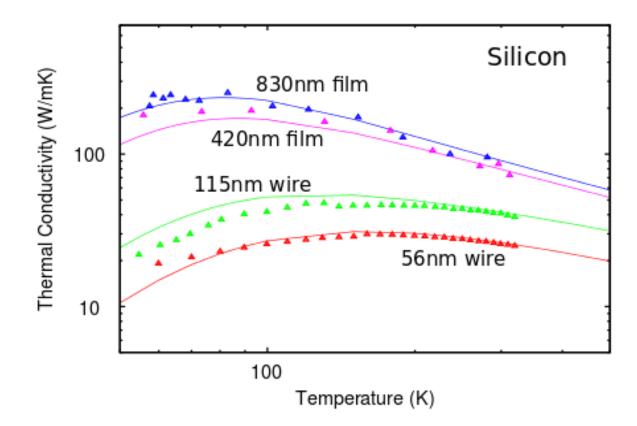
$$\frac{1}{\tau} = \frac{1}{\tau_I} + \frac{c}{L}$$

Form factor modulates the profile of the collective contribution

 $q_b = 0$

$$t \dot{q} + q = -\lambda \nabla T + l^2 (\nabla^2 q + 2 \nabla \nabla \cdot q)$$

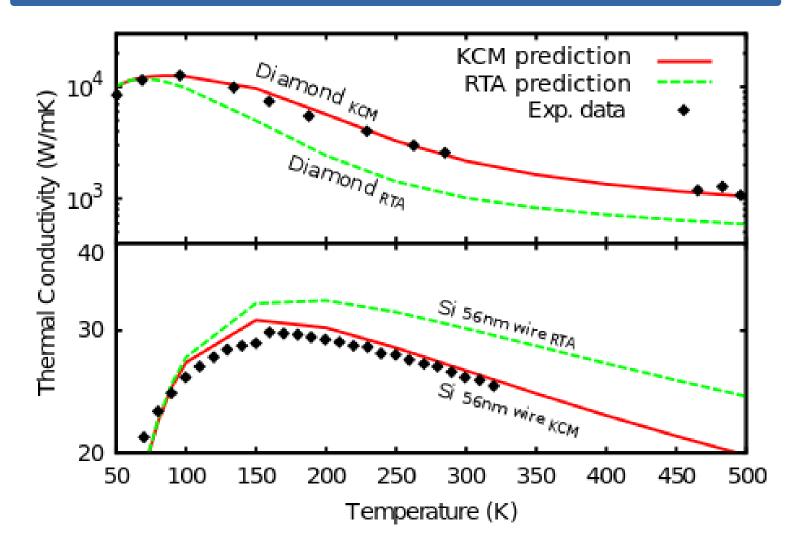
Predictions for nanowires



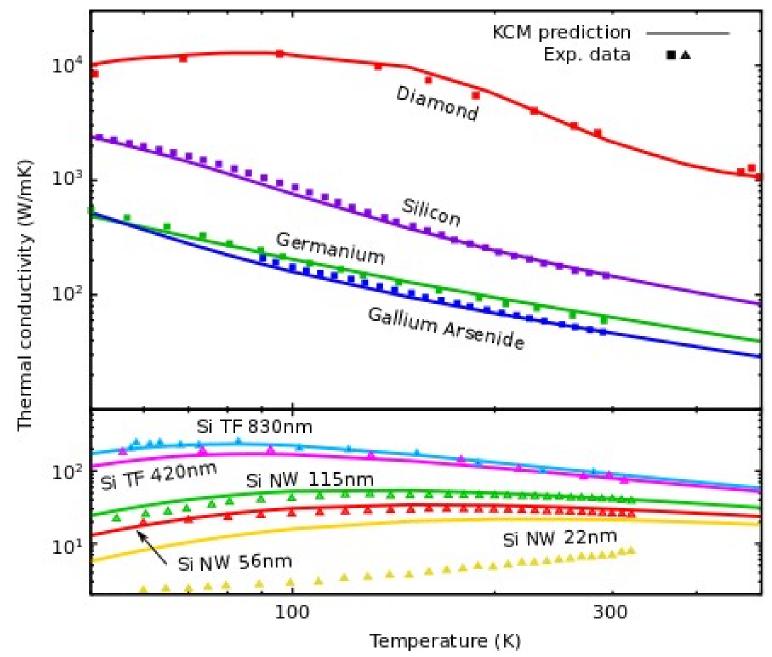
In combination with hydrodynamic model Good prediction for nanoscale experimental data

mprovements of KCM

The same simplicity as RTA with improved performance



Overview of KCM results



KCM package for phonopy

USER GUIDE V

INETIC COLLECTIVE MODEL



RESOURCES V NANOTRANSPORT GROUP

Kinetic Collective Model

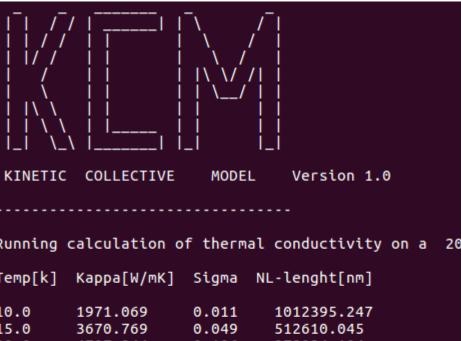
BTE-based hydrodynamic model for thermal transport

The Kinetic Collective Model (KCM), developed by the nanoTransport group of the Universitat Autònoma de Barcelona, is a generalization of the Guyer and Krumhansl solution of the phonon Boltzmann Transport Equation. KCM allows to compute t conductivity of semiconductors in a fast and low memory way from first principl calculations.

The KCM:

- · Properly accounts for the effect of normal scattering processes.
- Uses first principles calculations.
- Allows fast calculations of thermal conductivity with low memory and time t
- Defines an hydrodynamic heat flux equation able to be used in finite elemen simulations for thermal calculations in complex geometries (See the hydrod equation in THEORY).

http://physta.github.io



Running calculation of thermal conductivity on a 20x20

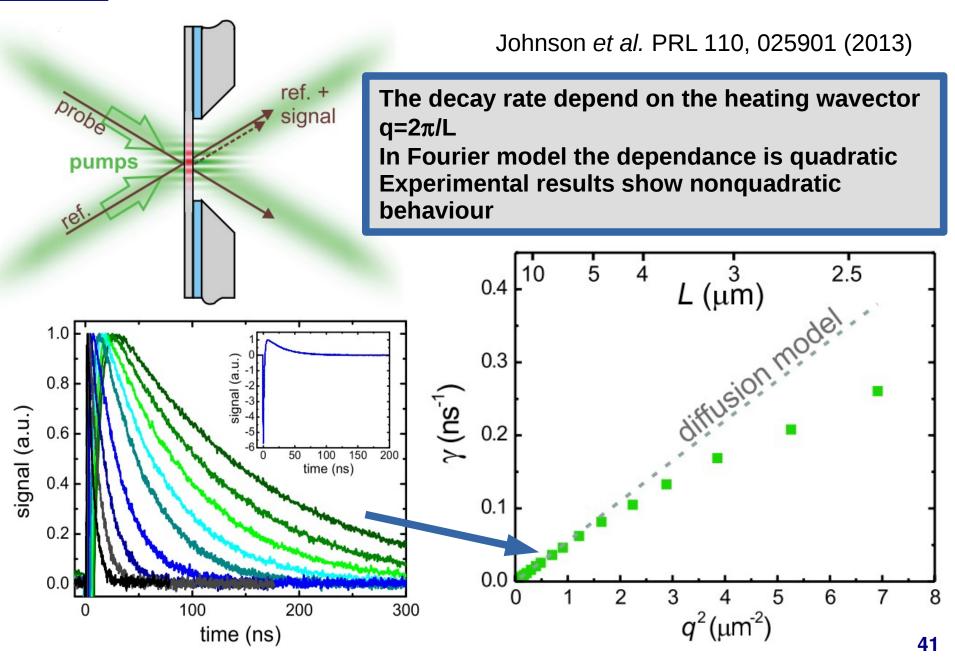
Temp[k]

10.0	1971.069	0.011	1012395.247
15.0	3670.769	0.049	512610.045
20.0	4707.944	0.106	278024.104
25.0	5151.292	0.179	159608.791
30.0	5168.565	0.260	97801.267
40.0	4566.568	0.412	44399.319
50.0	3719.696	0.531	24254.132
60.0	2902.404	0.620	14696.933
70.0	2214.747	0.682	9424.408

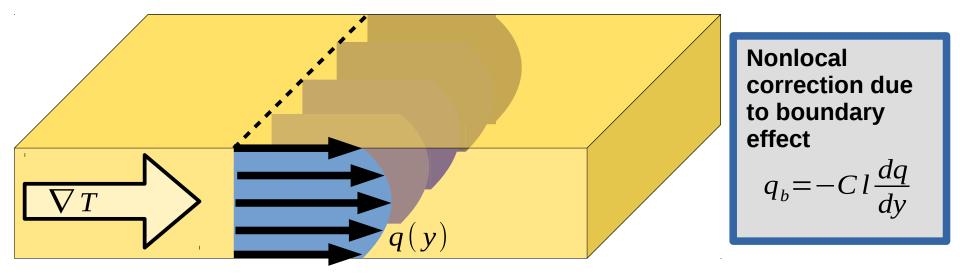
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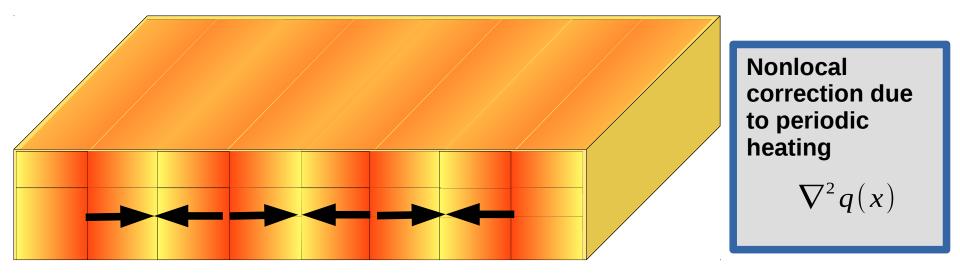


Intermal Grating Experiment

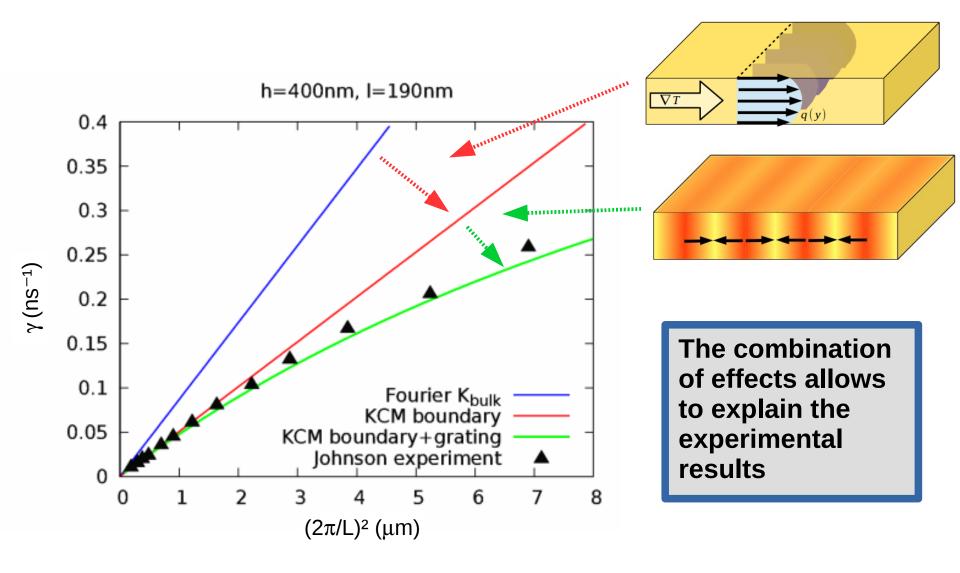


Thermal Grating in the KCM

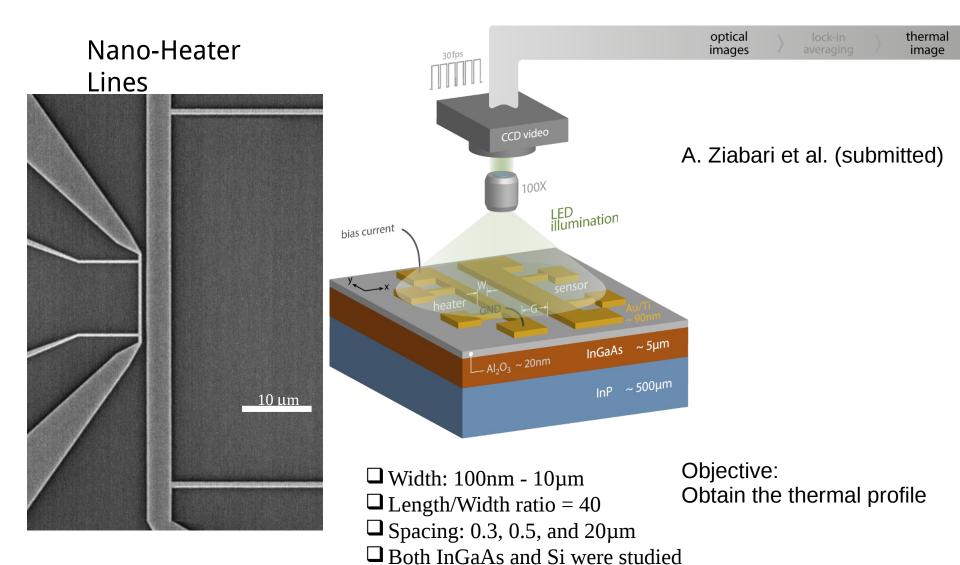




Thermal Grating in the KCM

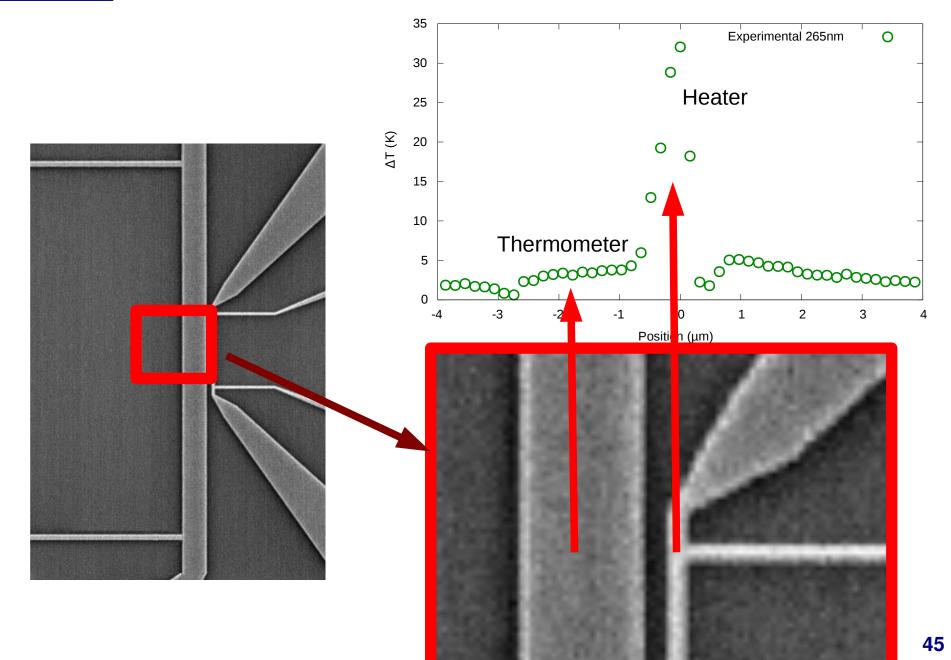


Ihermo Reflectance Imaging (TRI)

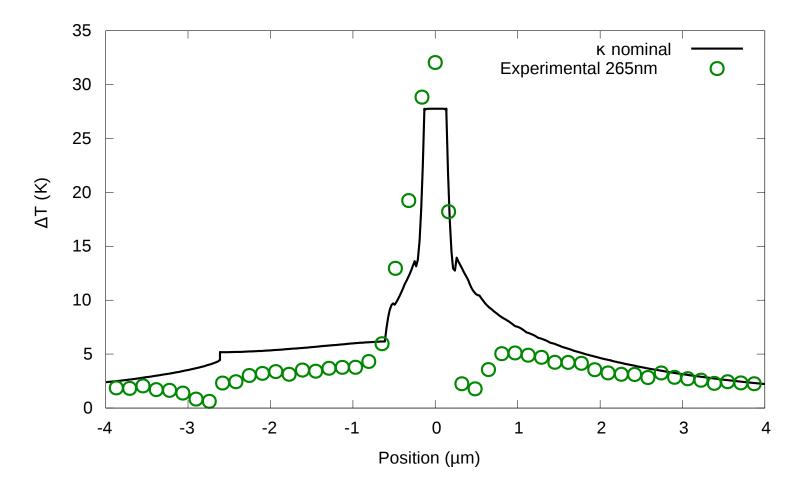


□ Static and Transient TR imaging

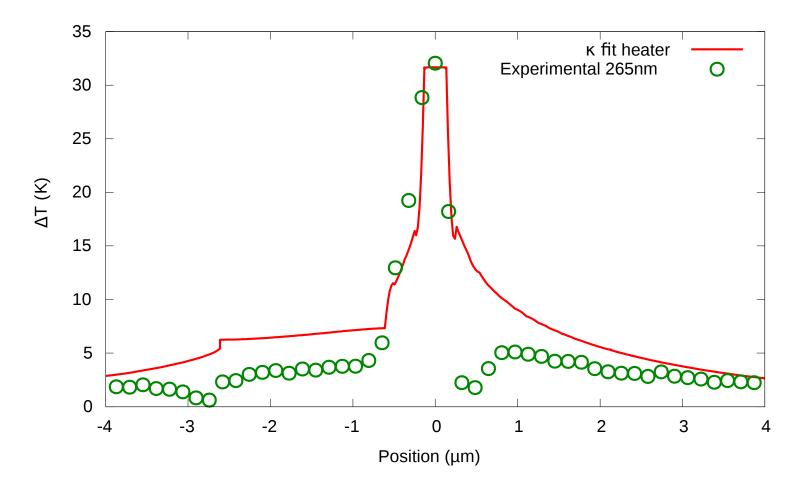
Ihermo Reflectance Imaging (TRI)



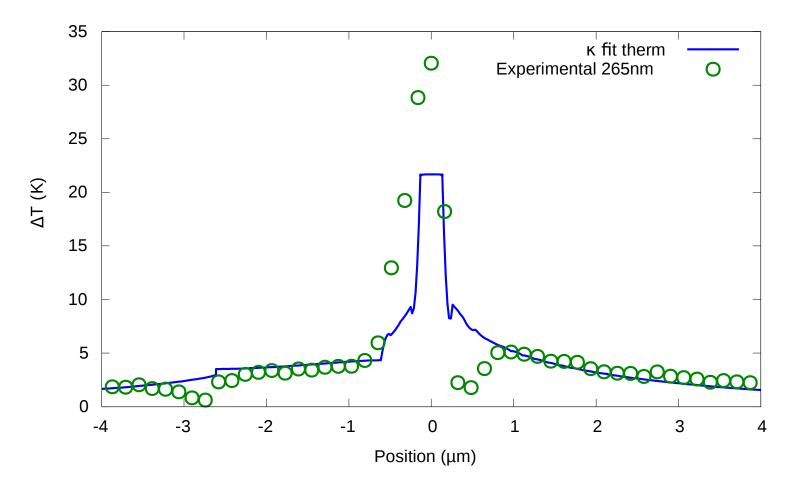
Nominal value of the thermal conductivity (κ =5.5 W/mK) Underpredict the heater and overpredict the thermometer



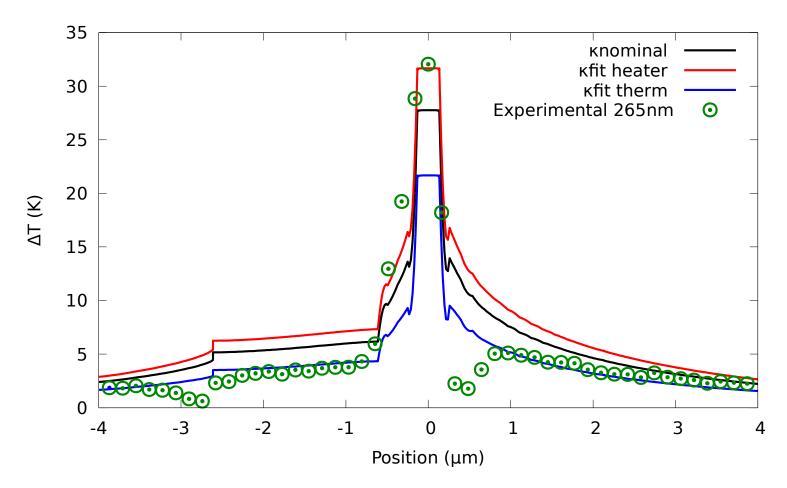
Reducing the conductivity to fit the heater te (κ =4.5 W/mK) We obtain a larger overprediction in the thermometer



Increasing the conductivity to fit the thermometer (κ =6.7 W/mK) We obtain a larger underprediction in the heater



There is not a single value for the thermal conductivity That works in the entire domain for Fourier



IDTR experiments



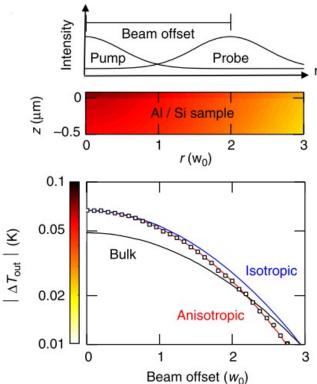
ARTICLE

Received 3 Dec 2013 | Accepted 26 Aug 2014 | Published 1 Oct 2014

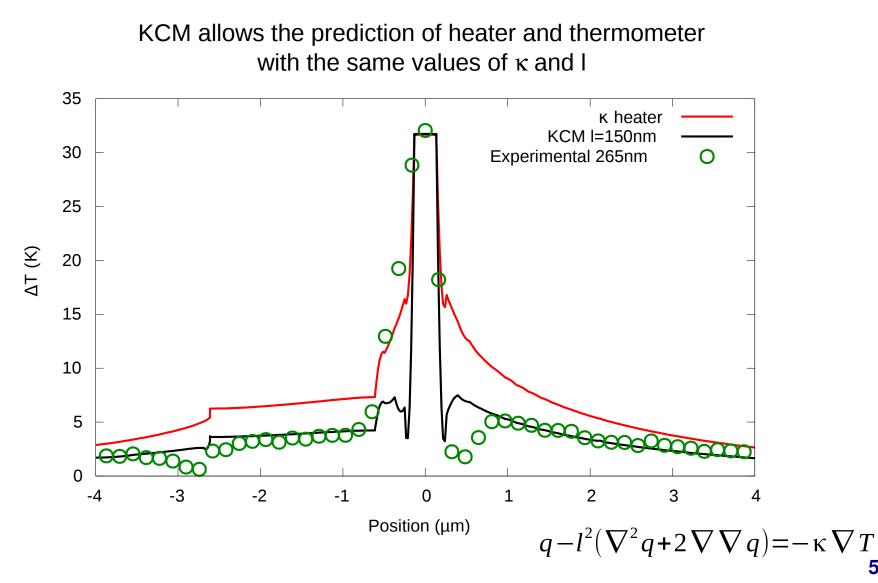
DOI: 10.1038/ncomms6075

Anisotropic failure of Fourier theory in time-domain thermoreflectance experiments

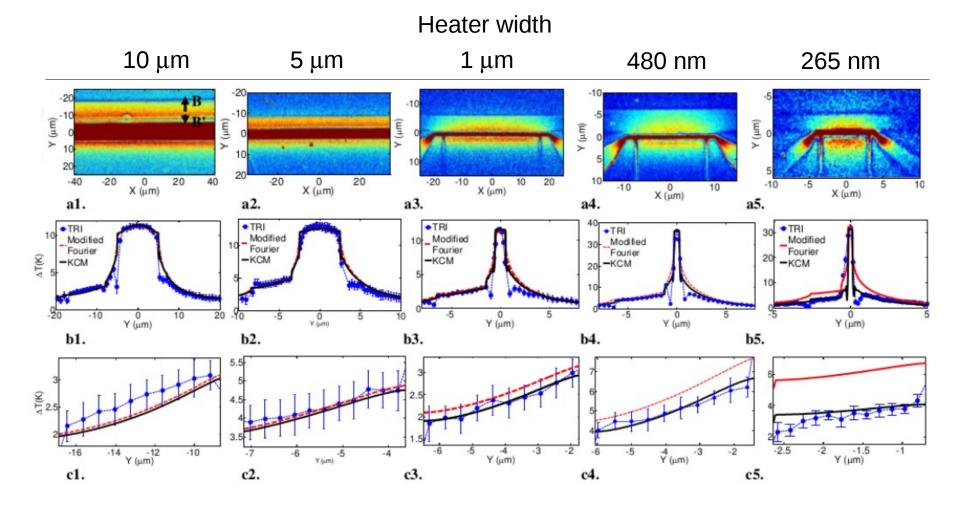
R.B. Wilson¹ & David G. Cahill¹



KCM modelization of TRI experiment

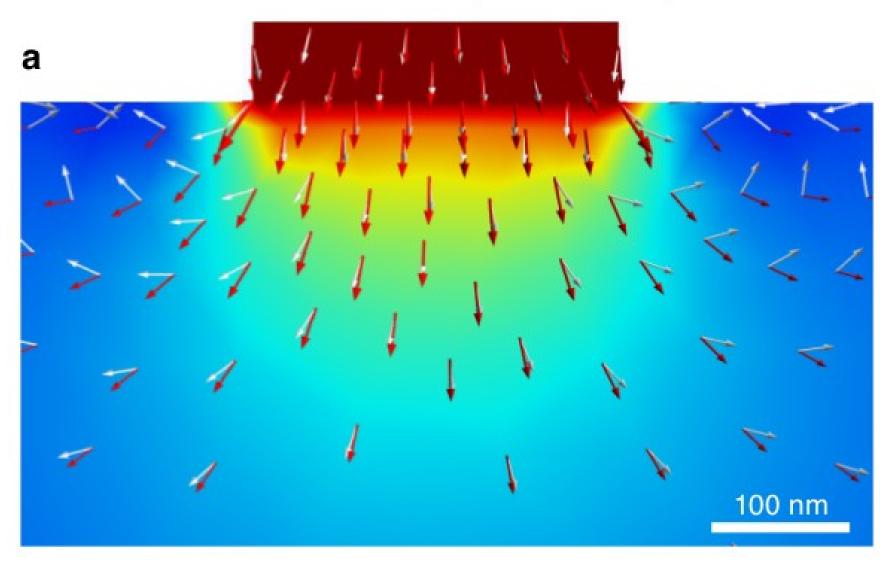


KCM predictions for different lines

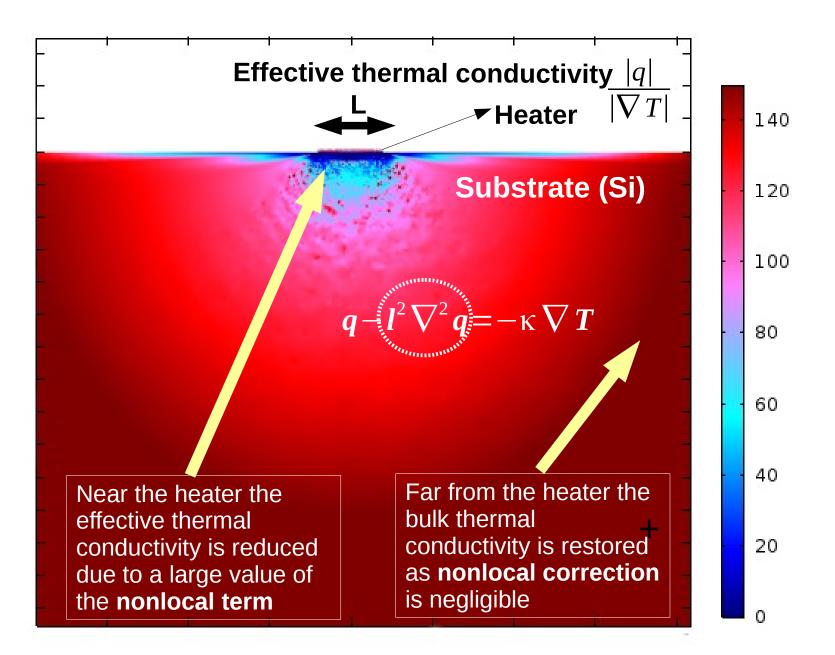


Vorticity effects

"small" device (W = 265nm)



KCM as a boundary



<u>Conclusions</u>

EIT allows the treatment of far from equilibrium situations by the inclusion om nonlocal and memory effects

The number of terms to describe an experiment depend on the complexity of the nonequilibrium excitation

The hydrodynamic model (second order approach) allows the prediction of a large number of experimental results at the nanoscale

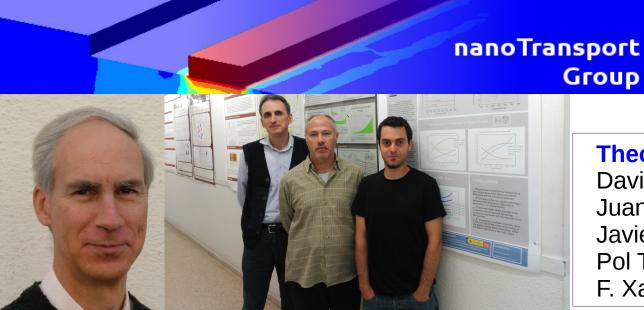
KCM is a method to treat anharmonicities in the phonon collision term in a simple way

KCM gives a remarkable agreement with experimental results with a considerable reduction in the calculation requirements respect other ab initio approaches

KCM + Hydrodynamic model allows the prediction of complex geometries due to its simplicity

<mark>T</mark>hank You!

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BIERNC ESPAÑA **PROJECT**

UNIÓN EUROPEA Fondo Europeo de Desarrollo Regional "Una manera de hacer Europa"

Experimental Group: GNaM

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